### THE PATENTS REGULATIONS [ARRANGEMENT OF REGULATIONS]

### PART I PRELIMINARY

#### Regulation

- 1. Title
- 2. Interpretation

#### **PART II**

## APPLICATION FOR PATENTS AND SPECIFICATIONS

- 3. Appropriate application forms to be used
- 4. Assignee or legal representative to establish right to act
- 5. Cognate application
- 6. Division of application if not cognate
- 7. Evidence in support of convention application
- 8. Division of convention application
- 9. Extension of period for lodging complete specification
- 10. Request for post-dating an application

### PART III DRAWINGS

- 11. When supplied, drawings to accompany specification
- 12. Drawing paper
- 13. Size of sheets, etc.

- 14. Preparation of drawings
- 15. Identification of drawings
- 16. Descriptive matter
- 17. Drawings not to be creased
- 18. Drawings lodged with provisional specification

#### **PART IV**

### EXAMINATION OF APPLICATIONS AND ACCEPTANCE OF COMPLETE SPECIFICATIONS

- 19. Examination procedure under section 16
- 20. Extension of time under section 20

#### Regulation

- 21. Extension of time under section 21
- 22. Publication of acceptance
- 23. Inspection of application, specification and drawings

#### **PART V**

## OPPOSITION TO GRANT OF PATENT

- 24. Notice of opposition
- 25. Counter-statement
- 26. Filing of evidence by objector
- 27. Filing of evidence by applicant
- 28. Extension of time under regulations 25, 26 and 27
- 29. Proof of service of notices, statements, etc.

### PART VI SUBSTITUTION OF APPLICANTS, ETC.

- 31. Procedure under subsection (1) of section 23
- 32. Procedure under subsection (5) of section 23

# PART VII SEALING AND FORM OF PATENT AND RENEWAL FEES

- 33. Request for sealing
- 34. Time for sealing under subsection (2) of section 25
- 35. Form of patent
- 36. Amendment of patent
- 37. Payment of renewal fees
- 38. Renewal fees may be paid in advance
- 39. Extension of time for payment
- 40. Certificate of payment

# PART VIII RESTORATION OF LAPSED PATENTS

- 41. Application
- 42. Notice of opposition
- 43. Hearing

#### **PART IX**

### VOLUNTARY ENDORSEMENT OF PATENTS "LICENCES OF RIGHTS"

#### Regulation

- 44. Application under subsection (1) of section 35
- 45. Application under subsection (2) of section 35
- 46. Advertisement of endorsement
- 47. Cancellation of endorsement under subsection (1) of section 36
- 48. Cancellation of endorsement under subsection (2) of section 36
- 49. Advertisement and opposition

#### **PART X**

#### **COMPULSORY LICENCES**

- 50. Application under section 37
- 51. Preliminary consideration by Registrar
- 52. Opposition
- 53. Supply of documents, etc., to High Court

#### **PART XI**

## AMENDMENT OF SPECIFICATION

- 54. Application to amend unaccepted specification
- 55. Application to amend accepted complete specification
- 56. Certified copy of original specification to accompany application
- 57. Opposition
- 58. New specification and drawings as amended may be required

## PART XII DIRECTIONS TO CO-OWNERS

- 59. Application under subsection (6) of section 47
- 60. Application under subsection (7) of section 47

# PART XIII DISPUTES AS TO INVENTIONS MADE BY EMPLOYEES

- 61. Application under subsection (1) of section 48
- 62. Hearing

### PART XIV SURRENDER OF PATENT

#### Regulation

- 63. Form of offer to surrender a patent
- 64. Opposition

### PART XV REGISTER OF PATENTS

- 65. Register to record grant of patents
- 66. Alteration of entries
- 67. Registration of assignments, etc.
- 68. Copies of documents
- 69. Correction of errors
- 70. Advertisement
- 71. Opposition
- 72. Certified copies of entries, etc.

#### 73. Lost patents

## PART XVI PATENT AGENTS

74. Application for registration

## PART XVII MISCELLANEOUS

7-	Th.	•1	1 C
/ 🤼	Drace	rina.	TAAC
75.	1 1050	7111754	l fees

- 76. Prescribed forms
- 77. Size, etc., of documents
- 78. Address for service
- 79. Method and proof of service
- 80. Lodging of documents
- 81. Power of Registrar to fix time and place of proceedings
- 82. Agency
- 83. Refusal to recognise agent
- 84. Signature of documents
- 85. Amendment of documents

#### Regulation

- 86. Power of Registrar to waive requirements
- 87. Order of High Court or Supreme Court
- 88. Days and hours of business

FIRST SCHEDULE-Fees payable to the Office

SECOND SCHEDULE-Form of patent

THIRD SCHEDULE-Prescribed forms

SECTION 96-THE PATENTS REGULATIONS

Federal

#### **Regulations by the Minister**

Government **Notices** 251 of 1957 206 of 1959 55 of 1960 363 of 1960 203 of 1962 Act 57 of 1964 **Statutory Instruments** 497 of 1964 38 of 1981 147 of 1990 54 of 1995 Act No. 13 of 1994

#### **PART I**

#### **PRELIMINARY**

1. These Regulations may be cited as the Patents Regulations.

Title

2. In these Regulations, unless the context otherwise requires-

Interpretation

"agent" means a patent agent registered as such in terms of section *sixty-eight*, and includes a legal practitioner;

"Office" means the Patent Office;

"section" means a section of the Act;

"Court" means the High Court

#### **PART II**

## APPLICATION FOR PATENTS AND SPECIFICATIONS

**3.** (1) An application, other than a convention application, shall be made in Form No. 1.

Appropriate application forms to be used

- (2) A convention application shall be made in Form No. 2.
- (3) An application for a patent of addition shall be made in Form No. 3.
- (4) An application in terms of subsection (2) of section *thirty-one* for the grant of a patent of addition instead of an independent patent shall be made in Form No. 4.
- (5) Every application (other than a convention application) shall be accompanied by either a provisional specification in duplicate in Form No. 5 or a complete specification shall be accompanied in Form No. 6; and every convention application shall be accompanied by a complete specification in duplicate in Form No. 6.
- **4.** An application for a patent by an assignee or the legal representative of a person who was the owner of an invention when he died shall be accompanied by the deed of assignment or the probate of the will of the deceased or the letters of administration, as the case may be, or a certified copy thereof, and such further evidence and proof of the applicant's title as the Registrar may require.

Assignee or legal representative to establish right to act

**5.** Where, in pursuance of subsection (3) of section *thirteen*, the Registrar allows a single complete specification to be proceeded with in respect of two or more applications in respect of which two or more complete specifications have been lodged, the single complete specification may include any matter disclosed in any of the said specifications and shall be deemed to have been lodged on such date, not earlier than the earliest date on which all the matter disclosed in the said single complete specification has been disclosed to the Office in or in

Cognate application

connection with the applications, as the Registrar may direct.

**6.** Where a complete specification has been lodged pursuant to two or more applications accompanied by provisional specifications for inventions which the applicant believes to be cognate or modifications one of another and the Registrar is of the opinion that such inventions are not cognate or modifications one of another, the Registrar may allow the complete specification to be divided into such number of complete specifications as may be necessary to enable the applications to be proceeded with as two or more separate applications for patents.

Division of application if not cognate

**7.** (1) In addition to the specification lodged with every convention application, there shall be lodged with the application, or within six months thereafter, a copy of the specification and drawings or documents lodged in respect of the relevant first application or applications for protection in a convention country, duly certified by the official chief or head of the patent office of the convention country, or otherwise verified to the satisfaction of the Registrar.

Evidence in support of convention application

- (2) If any specification or other document relating to the application is in a foreign language, it shall be accompanied by a translation thereof in the English language verified to the satisfaction of the Registrar.
- **8.** Where a single convention application has been made in respect of all or part of the inventions in respect of which two or more applications for protection have been made in one or more convention countries, the Registrar may, if he is satisfied that the claims of the specification lodged with the said convention application relate to more than one invention, allow one or more further applications to be lodged and the specification to be divided into such number of specifications as may be necessary to enable two or more separate convention applications to be proceeded with, and may direct that the said applications be deemed to have been lodged on the date of lodging of the original application.

Division of convention application

**9.** A request in terms of subsection (2) of section *thirteen* for an extension of time for lodging a complete specification shall be made in Form No. 7.

Extension of period for lodging complete specification

**10.** A request in terms of subsection (1) of section *seventeen* for the post-dating of an application shall be made in Form No. 8.

Request for post-dating an application

#### **PART III**

#### **DRAWINGS**

11. Drawings, when supplied, shall accompany the provisional or complete specification to which they refer, except in the case provided for by regulation 18. A true copy of the original drawings shall be lodged at the same time as the original drawings.

When supplied, drawings to accompany specification

12. (1) Drawings shall be made on pure white tough drawing paper, not Drawing paper thinner than 100 sheets to the inch, of smooth surface and good quality, and without colour or washes, in such a way as to admit of being clearly reproduced on a reduced scale by photography.

- (2) Mounted drawings may not be used.
- **13.** (1) Drawings shall be on sheets which measure 13 inches from top Size of sheets, to bottom and are either 8 inches or 16 inches wide, and shall be made on one side of the paper only and have 1 1/2 inches clear binding margin on the left-hand side of the sheet and a 1/2 inch clear margin on the top, bottom and right-hand side of the sheet.

etc.

- (2) If there are more figures than can be shown on one of the smaller sized sheets, two or more of these sheets shall be used unless the large size is required by the size of any one figure.
- (3) An exceptionally large figure may be continued on subsequent sheets.
- (4) No more sheets shall be employed than are necessary.

- (5) The figures shall be numbered consecutively without regard to the number of sheets, and shall as far as possible be arranged in numerical order, separated by a sufficient space to keep them distinct.
- (6) Where figures on a number of sheets form in effect a single complete figure, they shall be so arranged that the complete figure can be assembled without concealing any part of another figure.
- **14.** Drawings shall be prepared in accordance with the following requirements:

Preparation of drawings

- (a) they shall be executed in durable black or very dark markings;
- each line shall be firmly and evenly drawn, sharply defined, and (b) of the same strength throughout;
- section lines, lines for effect, and shading lines shall be as few as (c) possible, and shall not be closely drawn;
- shading lines shall not contrast excessively in thickness with the general lines of the drawing;
- sections and shading shall not be represented by solid black or (e) washes;
- *(f)* they shall be on a scale sufficiently large to show the invention clearly, and only so much of the apparatus, machine or article may appear as effects this purpose;
- if the scale is given, it shall be drawn and not denoted by words, (g) and no dimensions may be marked on the drawings;
- where convenient, the figures shall be drawn in an upright position in regard to the top and bottom of the sheet;
- subject to any special directions of the Registrar in any particular (*i*) case, reference letters and numerals and index letters and numerals used in conjunction therewith shall be bold, distinct and not less than 1/8 inch in height; the same letters or numerals shall be used in different views of the same parts; and where the reference letters or numerals are shown outside the parts referred to, they shall be connected with the said parts by fine lines.

#### **15.** (1) Drawings shall bear-

drawings

(a) in the left-hand top corner the name of the applicant and, in the Identification of

case of drawings lodged with a complete specification after one or more provisional specifications, the numbers and years of the applications;

- in the right-hand top corner the number of sheets of drawings (b) sent and the consecutive number of each sheet, and the words "original" or "true copy", as the case may require;
- in the right-hand bottom corner the signature of the applicant or (c) his agent.
- (2) The title of the invention shall not appear on the drawings.
- **16.** (1) No descriptive matter shall appear on constructional drawings, but drawings in the nature of flow sheets may bear descriptive matter to matter show the materials used and the chemical or other reactions or treatments effected in carrying out the invention.

Descriptive

- (2) Drawings showing a number of instruments or units of apparatus and their interconnections, either mechanical or electrical, where each such instrument or unit is shown only symbolically, may bear such descriptive matter as is necessary to identify the instruments or units or their interconnections.
- (3) Such descriptive matter shall be in black or very dark markings on both the original and the true copy drawings and on the latter the letters shall be not less than 1/4 inch in height.
- (4) No drawing or sketch, other than a graphic chemical formula or a mathematical formula, symbol or equation, shall appear in the verbal part of the specification and if such a formula, symbol or equation is used therein a copy thereof, prepared in the same manner as original drawings, except that it may be a hand-made drawing on tracing-cloth, shall be furnished if the Registrar so directs.
- 17. Drawings shall be delivered at the Office free from folds, breaks or Drawings not to creases which would render them unsuitable for reproduction by photography.

be creased

**18.** If an applicant desires to adopt the drawings lodged with his

**Drawings** 

provisional specification as the drawings or part of the drawings for his complete specification, he shall refer to them in the complete specification as those lodged with the provisional specification.

lodged with provisional specification

#### **PART IV**

### EXAMINATION OF APPLICATIONS AND ACCEPTANCE OF COMPLETE SPECIFICATIONS

**19.** (1) When the Registrar, in making the investigation under section *sixteen*, finds that the application or specification does not comply with the requirements of subsection (1), the Registrar may refuse to accept the application or require the application or the specification which accompanied it to be amended in such manner as may be necessary.

Examination procedure under section 16

- (2) The Registrar may appoint a hearing if he considers it desirable to do so, having regard to the time remaining for putting the application in order or other circumstances of the case.
- (3) When a hearing is appointed, the applicant shall be given fourteen days' notice of the appointment or such shorter notice as appears to the Registrar to be reasonable in the circumstances and shall as soon as possible notify the Registrar whether he will attend the hearing.
- (4) After hearing the applicant, or without a hearing if the applicant has not attended or has notified that he does not desire to be heard, the Registrar may direct or permit such amendment of the specification as will be to his satisfaction, and may refuse to accept the specification unless such amendment is made within such period as he may fix.
- **20.** An application under section *twenty* for extension of time for accepting a complete specification shall be given in Form No. 9.

Extension of time under section 20

**21.** A notice under the proviso to subsection (1) of section *twenty-one* requesting postponement of the acceptance of a complete specification to a date, not being later than eighteen months from the date of lodging of the application, shall be given in Form No. 10.

Extension of time under section 21

**22.** (1) When notice of acceptance of a complete specification has been given by the Registrar in terms of subsection (2) of section *twenty-one*, the applicant shall advertise the acceptance in the *Patent Journal* within one month of the date of such acceptance or within such further time as the Registrar may allow.

Publication of acceptance

(2) At any time prior to the publication of the acceptance of a complete specification the Registrar may, if he thinks fit, on application made in Form No. 11, cancel such acceptance.

(As amended by F.G.N. No. 55 of 1960)

**23.** The fee for inspecting an application, specification and drawings shall be as set out in item 49 of the First Schedule.

Inspection of application, specification and drawings

#### **PART V**

## OPPOSITION TO GRANT OF PATENT

**24.** A notice of opposition to the grant of a patent shall be given in Form No. 12.

Notice of opposition

**25.** The period within which a counter-statement may be lodged by the applicant shall be two months from the date of receipt by him of the notice of opposition. If such counter-statement is not lodged within the said period or within such further period as the Registrar may allow, the application shall be deemed to be abandoned.

Counter-statement

**26.** The objector may, within two months from the receipt of the copy of the counter-statement, file evidence in accordance with the provisions of section *sixty-five* in support of his case and shall serve on the applicant a copy thereof.

Filing of evidence by objector

**27.** Within two months from the receipt of the copy of the objector's evidence or, if the objector does not file any evidence, within two months from the expiration of the time within which the objector's evidence might have been filed, the applicant may file evidence in accordance with the provisions of section *sixty-five* in support of his case and shall serve on the objector a copy of the evidence; and within two months from the receipt of the copy of the applicant's evidence, the objector may file evidence confined to matters strictly in reply and shall serve on the applicant a copy of the evidence.

Filing of evidence by applicant

**28.** The Registrar may extend the periods mentioned in regulations 25, 26 and 27 if a request in writing for such extension is made at any time within the said periods or extended periods.

Extension of time under regulations 25, 26 and 27

**29.** Proof of service of all notices, statements or other documents referred to in these Regulations shall be furnished to the Registrar.

Proof of service of notices, statements, etc.

**30.** (1) When the foregoing provisions of this Part have been complied with, the Registrar shall hand all relevant papers to the registrar of the High Court.

Supply of documents, etc., to High Court

(2) Copies of all documents, other than specifications, referred to in the notice of opposition or in any statement or evidence filed in connection with the opposition shall be furnished for the use High Court, unless the High Court otherwise directs.

#### **PART VI**

#### SUBSTITUTION OF

#### APPLICANTS, ETC.

**31.** (1) A claim under subsection (1) of section *twenty-three* that an application for a patent shall proceed in the name of the claimant or in the names of the claimant and the applicant or the other joint applicant or applicants shall be made in Form No. 13 and shall be accompanied by a copy of any assignment or agreement upon which the claim is based, which copy shall be certified by the claimant, the applicant or the agent of either of them, as the case may be.

Procedure under subsection (1) of section 23

- (2) If so required by the Registrar, the original assignment or agreement shall also be produced for his inspection and he may also call for such other proof of title or written consent as he may require.
- **32.** (1) An application under subsection (5) of section *twenty-three* by any party to a joint application for the directions of the Registrar as to the name of the party, or the manner in which an application for a patent shall be proceeded with, shall be made in Form No. 14 and shall be accompanied by a statement setting out fully the facts upon which the applicant relies and the directions which he seeks.

Procedure under subsection (5) of section 23

- (2) A copy of the application and statement shall be sent by the Registrar to each other joint applicant (the applicant shall supply a sufficient number of copies for that purpose) and thereafter the Registrar shall appoint a time for the hearing of the case and shall give the parties at least fourteen days' notice of the appointment.
- (3) After hearing the party or parties desiring to be heard or, if none of the parties desires to be heard, then without a hearing, the Registrar shall in accordance with the provisions of subsection (5) of section *twenty-three* give directions as he thinks fit for enabling the application to proceed in the name of one or more of the parties or for regulating the manner in which it shall be proceeded with or for both those purposes, according as the case may require.

#### **PART VII**

## SEALING AND FORM OF PATENT AND RENEWAL FEES

**33.** An application for the sealing of a patent shall be made in Form No. 15.

Request for sealing

**34.** (1) An application for an extension of time under proviso (iv) to subsection (2) of section *twenty-five* shall be made in Form No. 16 and the period of extension shall not exceed three months.

Time for sealing under subsection (2) of section 25

- (2) An application under proviso (v) to subsection (2) of section *twenty-five* for a further extension of time shall be made in Form No. 17.
- **35.** A patent shall be in the form set out in the Second Schedule or in such form modified as directed by the Registrar to meet the circumstances.

Form of patent

**36.** An application under section *twenty-six* for the amendment of a patent shall be made in Form No. 18 and shall be accompanied by evidence verifying the statements therein and by the letters patent.

Amendment of patent

**37.** If it is desired at the expiration of the third year of the term of a patent or of any succeeding year during the term of the patent to keep the patent in force, the renewal fees set out in item 18 of the First Schedule shall be paid by lodging Form No. 19 before the expiration of that year:

Payment of renewal fees

Provided that where the sealing of the patent is delayed beyond the periods set out herein, by reason of opposition or the application having been kept secret or an extension under proviso (v) to subsection (2) of section *twenty-five* having been granted, the renewal fees may be paid at the time the patent is sealed. The Registrar may in his discretion grant an extension of time not exceeding six months from the date of sealing for payment of such fees.

(As amended by F.G.N. No. 206 of 1959 and F.G.N. No. 363 of 1960)

**38.** All or any of the prescribed renewal fees may be paid in advance.

Renewal fees may be paid in advance

**39.** An application for extension of time for payment of any renewal fee Extension of shall be made in Form No. 20.

time for payment

**40.** On due compliance with the terms of regulation 37, the Registrar shall issue a certificate in Form No. 21 that the prescribed fee has been duly paid, and he shall cause to be entered in the register the fact that the fee has been paid and the date of payment as stated on the certificate.

Certificate of payment

#### **PART VIII**

### RESTORATION OF LAPSED **PATENTS**

- **41.** (1) An application under section *thirty-three* for the restoration of a Application patent shall be made in Form No. 22 and shall be accompanied by evidence by affidavit in support of the statements made in the application.
- (2) The Registrar shall direct the patentee to advertise the application (if in compliance with subsection (2) of section thirty-three) in two consecutive issues of the Patent Journal.

(As amended by F.G.N. No. 206 of 1959 and F.G.N. No. 55 of 1960)

**42.** (1) At any time within two months of the advertisement of the application, any person may give notice of opposition thereto in Form No. 23.

Notice of opposition

(2) Such notice shall be accompanied by a copy thereof together with a statement, in duplicate, setting out fully the nature of the objector's

interest and the facts upon which he relies.

- (3) Upon notice of opposition being given, the provisions of regulation 25 to 29 shall *mutatis mutandis* apply in respect of the proceedings held before the Registrar under section *thirty-three*.
- **43.** (1) On completion of the evidence (if any), or at such other time as the Registrar may see fit, the Registrar shall appoint a time for the hearing of the application and shall give the parties at least fourteen days' notice of the appointment.
- (2) After hearing the party or parties desiring to be heard or, if none of the parties desires to be heard, then without a hearing, the Registrar shall decide the matter and notify his decision to the parties.

#### **PART IX**

### VOLUNTARY ENDORSEMENT OF PATENTS "LICENCES OF RIGHT"

**44.** An application under subsection (1) of section *thirty-five* for endorsement of a patent "licences of right" shall be made in Form No. 24 and shall be accompanied by evidence verifying the statement in the application and by the letters patent.

Application under subsection (1) of section 35

**45.** (1) An application under paragraph (*a*) or *b*) of subsection (2) of section *thirty-five* for settlement of the terms of a licence under a patent endorsed "licences of right" shall be made in Form No. 25 and shall be accompanied by a copy thereof and a statement, in duplicate, setting out fully the facts upon which the applicant relies and the terms of the licence which he is prepared to accept or grant.

Application under subsection (2) of section 35

(2) A copy of the application and statement shall be sent by the Registrar to the patentee or the person requiring a licence, as the case

may be, who, if he does not agree to the terms set out in the statement, shall within two months of the receipt of such copies file a counter-statement setting out fully the grounds of the objection and serve a copy thereof on the applicant.

- (3) The Registrar shall give such directions as he may think fit with regard to the filing of evidence and the hearing of the parties.
- **46.** Upon the endorsement of a patent in terms of section *thirty-five*, the Advertisement Registrar shall direct the applicant to publish notification thereof forthwith in the Patent Journal.

of endorsement

(As amended by F.G.N. No. 55 of 1960)

**47.** An application under subsection (1) of section *thirty-six* for the cancellation of an endorsement shall be made in Form No. 26 and shall be accompanied by evidence verifying the statement in the application and by a memorandum in Form No. 19 with fees to the amount of the balance of all renewal fees which would have been payable if the patent had not been endorsed.

Cancellation of endorsement under subsection (1) of section 36

**48.** (1) An application under subsection (2) of section *thirty-six* for the cancellation of an endorsement shall be made in Form No. 27 within six months after the patent has been endorsed and shall be accompanied by a copy thereof and a statement, in duplicate, setting out fully the nature of the applicant's interest and the facts upon which he relies.

Cancellation of endorsement under subsection (2) of section 36

- (2) The period within which renewal fees shall be paid on cancellation of an endorsement in terms of subsection (3) of section thirty-six shall be one month from the date of cancellation.
- **49.** (1) Every application under subsection (1) or (2) of section thirty-six shall be advertised by the applicant in the Patent Journal and the period within which notice of opposition to the cancellation of an endorsement may be given under subsection (5) of section thirty-six shall be two months after the advertisement.

Advertisement and opposition

(2) Such notice shall be given in Form No. 28 and shall be accompanied by a copy thereof and a statement, in duplicate, setting out fully the facts upon which the opponent relies, and, in the case of opposition to an

application under subsection (1) of section *thirty-six*, the nature of his interest.

- (3) A copy of the notice and of the statement shall be sent by the Registrar to the applicant for cancellation of the endorsement and thereafter the Registrar shall appoint a time for the hearing of the application and shall give the parties at least fourteen days' notice of the appointment.
- (4) After hearing the party or parties desiring to be heard or, if none of the parties desires to be heard, then without a hearing, the Registrar shall give such decision on the application as he may consider just.

(*As amended by F.G.N. No.* 55 *of* 1960)

#### **PART X**

#### **COMPULSORY LICENCES**

**50.** An application under section *thirty-seven* for a licence under a patent shall be made in Form No. 29.

Application under section 37

**51.** (1) If upon consideration of the evidence the Registrar is satisfied that the application falls within section *thirty-seven*, he shall direct the applicant to serve copies of the application and of the relevant affidavit upon the patentee and upon any other person appearing from the register to be interested in the patent and to advertise the application in one issue of the *Patent Journal* in such form as he may approve.

Preliminary consideration by Registrar

(2) If the Registrar is not so satisfied, he shall notify the applicant accordingly and, unless within one month the applicant requests to be heard in the matter, the Registrar shall refuse the application.

(*As amended by F.G.N. No.* 55 *of* 1960)

**52.** (1) At any time within two months from the date of the advertisement, or within such further time as the Registrar may allow,

Opposition

the patentee or any other person who wishes to oppose the application shall deliver to the Registrar a counter-statement, verified by affidavit, setting out fully the grounds on which the application is opposed, and shall at the same time serve upon the applicant a copy of the counter-statement and of such affidavit.

- (2) Proof of service shall be furnished to the satisfaction of the Registrar.
- **53.** When the foregoing provisions of this Part have been complied with, the Registrar shall hand all relevant papers to the registrar of the High Court.

Supply of documents, etc., to High Court

#### **PART XI**

## AMENDMENT OF SPECIFICATION

**54.** (1) An application for leave to amend a provisional specification or a complete specification which has not been accepted, except when the amendment is made to meet an objection by the Registrar, shall be made in Form No. 30.

Application to amend unaccepted specification

- (2) The Registrar shall, if he thinks fit, appoint a time for a hearing of the application and shall give the applicant at least twenty-one days' notice of such appointment. If the applicant desires to be heard he must, not later than seven days prior to the date of the hearing or within such further time as the Registrar may allow, notify the Registrar to that effect.
- (3) After hearing the applicant or, if the applicant does not desire to be heard, then without a hearing, the Registrar shall decide the case and notify his decision to the applicant.
- **55.** An application for leave to amend an accepted complete specification shall be made in Form No. 31 and the application and the

Application to amend accepted

nature of the proposed amendment shall be advertised by the applicant in the *Patent Journal* in the manner provided for in Form No. 32.

complete specification

(As amended by F.G.N. No. 55 of 1960)

**56.** An application for leave to amend a specification shall be accompanied by a copy, certified by the applicant or his agent, of the original specification, or of those pages of specification or drawings in which the proposed amendment appears, clearly showing in red ink the amendment sought.

Certified copy of original specification to accompany application

**57.** (1) Any person wishing to oppose an application for amendment under regulation 55 shall give notice to the Registrar in Form No. 33.

Opposition

- (2) Such notice shall be accompanied by a statement setting out fully the nature of the objector's interest, the facts upon which he relies and the relief he seeks.
- (3) A copy of the notice and of any statement which accompanies such notice shall be served by the objector on the applicant.
- (4) Upon notice of opposition being given, the provisions of regulations 25 to 29 and of regulation 43 shall *mutatis mutandis* apply in respect of the proceedings hold before the Registrar under section *forty-three*.
- **58.** (1) When leave to amend a specification is given the applicant shall, if the Registrar so requires and within a time to be fixed by him, lodge a new specification and drawings as amended.

New specification and drawings as amended may be required

(2) Where an application for leave to amend a specification is made pursuant to an order of the High Court, such application shall be accompanied by a copy of such order certified by the registrar of or the High Court the Supreme Court, as the case may be.

#### **PART XII**

#### **DIRECTIONS TO CO-OWNERS**

**59.** (1) An application for directions under subsection (6) of section *forty-seven* by any one or more of the proprietors of a patent shall be made in Form No. 34 and shall be accompanied by a statement setting out fully the facts upon which the applicant relies and the directions which he seeks.

Application under subsection (6) of section 47

- (2) A copy of the application and of the statement shall be sent by the Registrar to each of the other proprietors of the patent, and the applicant shall supply a sufficient number of copies for that purpose.
- (3) Thereafter the Registrar shall appoint a time for the hearing of the case and shall give the parties at least fourteen days' notice of the appointment.
- (4) After hearing the party or parties desiring to be heard or, if none of the parties desires to be heard, then without a hearing, the Registrar shall give directions in accordance with the provisions of subsection (6) of section *forty-seven*.
- **60.** (1) An application for directions under subsection (7) of section *forty-seven* shall be made in Form No. 35 and shall be accompanied by a copy thereof and a statement, in duplicate, setting out fully the facts upon which the applicant relies and the directions which he seeks.

Application under subsection (7) of section 47

- (2) A copy of the application and of the statement shall be sent by the Registrar to the person in default.
- (3) Thereafter the provisions of sub-regulations (3) and (4) of regulation 59 shall apply.

#### **PART XIII**

## DISPUTES AS TO INVENTIONS MADE BY EMPLOYEES

**61.** (1) An application under subsection (1) of section *forty-eight* to determine a dispute as to rights in respect of an invention or in respect of a patent granted or to be granted in respect thereof shall be made in Form No. 36 and shall be accompanied by a copy thereof together with a statement, in duplicate, setting out fully the facts of the dispute and the relief which is sought.

Application under subsection (1) of section 48

- (2) A copy of the application and of the statement shall be sent by the Registrar to the other party to the dispute, who within three months after receipt thereof shall file a counter-statement, in duplicate, setting out fully the grounds on which he disputes the right of the applicant to the relief sought.
- (3) The Registrar shall send a copy of this counter-statement to the applicant and thereafter, subject to such directions as the Registrar may think fit to give, the provisions of regulations 26 to 29 shall *mutatis mutandis* apply in respect of proceedings held before the Registrar, and references to the objector shall be substituted for references to the applicant and references to the applicant for references to the other party.
- **62.** (1) Should the Registrar decide to hear the application, he shall thereafter appoint a time for the hearing and shall give the parties at least fourteen days' notice of the appointment.

Hearing

(2) After hearing the party or parties desiring to be heard or, if none of the parties desires to be heard, then without a hearing, the Registrar shall determine the matter in dispute and make such orders for giving effect to his decision as he considers expedient.

#### **PART XIV**

#### SURRENDER OF PATENT

**63.** A notice of an offer by a patentee under section *fifty-two* to surrender his patent shall be given in Form No. 37 and shall be advertised by the patentee in one issue of the *Patent Journal*.

Form of offer to surrender a patent

(*As amended by F.G.N. No.* 55 *of* 1960)

**64.** (1) At any time within two months from such advertisement, any person may give notice of opposition to the Registrar in Form No. 38, which shall be accompanied by a copy thereof and a statement, in duplicate, setting out fully the nature of the opponent's interest, the facts upon which he relies and the relief which he seeks.

Opposition

- (2) A copy of the notice and of the statement shall be sent by the Registrar to the patentee.
- (3) Upon such notice of opposition being given and a copy thereof sent to the patentee, the provisions of regulations 25 to 29 and of regulation 43 shall *mutatis mutandis* apply and references to the patentee shall be substituted for references to the applicant.

#### **PART XV**

#### REGISTER OF PATENTS

**65.** (1) In addition to the particulars referred to in the Act, the Registrar shall cause to be entered in the register the name, address and nationality of the patentee as the grantee thereof, the title of the invention, the date of the patent and the date of the sealing thereof, together with the full postal address for service.

Register to record grant of patents

(2) The Registrar may at any time enter in the register such other particulars as he may deem necessary.

- **66.** (1) A request by a patentee for the alteration of a name, nationality, Alteration of address, or address for service entered in the register in respect of his entries patent shall be made in Form No. 39.
- (2) Before acting on a request to alter a name or nationality, the Registrar may require such proof of the alteration as he may think fit.
- (3) If the Registrar is satisfied that the request may be allowed, he shall cause the register to be altered accordingly.
- **67.** (1) An application for the registration of the title of any person becoming entitled by assignment to a patent or to a share in a patent shall be made in Form No. 40 by the person becoming so entitled.

Registration of assignments, etc.

- (2) Application may be made in Form No. 41 for entry in the register of notification of an interest by way of mortgage, licence or otherwise.
- **68.** (1) A copy of any document which is referred to in an application under regulation 67, duly certified to the satisfaction of the Registrar, shall be produced to the Registrar with the application.

Copies of documents

- (2) Unless the Registrar otherwise directs, the original of any other document so referred to shall be produced to him with the application, and a certified copy of any such document shall be lodged therewith, and such original document shall be returned to the person who produced it.
- **69.** A request under section *fifty-nine* for the correction of a mistake in Correction of the register, in any patent, or application for a patent or any document lodged in pursuance of such application, or in proceedings in connection with any patent, shall be made in Form No. 42.

errors

Where the Registrar requires notice of the nature of the proposed correction to be advertised in terms of subsection (4) of section fifty-nine, the advertisement shall be made by publication in the Patent Journal of the request and the nature of the proposed correction.

Advertisement

(As amended by F.G.N. No. 55 of 1960)

- **71.** (1) Where such application is advertised in terms of regulation 70, Opposition notice of opposition may at any time within two months from the date of publication be given in Form No. 43.
- (2) Upon notice of opposition being given, the provisions of regulations 25 to 29 and of regulation 43 shall *mutatis mutandis* apply in respect of the proceedings held before the Registrar under section *fifty-nine*.
- **72.** Copies of any entry in the register, or copies of, or extracts from, patents, specifications and other public documents in the Office, or of or from registers and other records kept there, certified by the Registrar, may be furnished by the Registrar on payment of the fees prescribed in the First Schedule.

Certified copies of entries, etc.

**73.** An application under section *sixty-three* for a further patent to be sealed shall be made in Form No. 44 and shall be accompanied by evidence setting out fully and verifying the circumstances in which the patent was lost or destroyed or cannot be produced.

Lost patents

#### **PART XVI**

#### PATENT AGENTS

**74.** An application to be registered as a patent agent in terms of subsection (2) of section *sixty-eight* shall be-

Application for registration

- (a) made by affidavit on Form No. 45 sworn before a commissioner for oaths;
- (b) accompanied by-
- (i) documentary proof that the applicant is entitled to be registered;
- (ii) the appropriate fee.

(F.G.N. No. 203 of 1962)

#### **PART XVII**

#### **MISCELLANEOUS**

**75.** The fees to be paid in respect of the grant of patents and applications therefor and in respect of other matters relating to patents arising under the Act shall be those prescribed in the First Schedule.

Prescribed fees

**76.** The forms set out in the Third Schedule shall be used in all cases to Prescribed which they are applicable and may be modified as directed by the Registrar.

forms

77. (1) All documents and copies of documents, except drawings, lodged at the Office shall, unless the Registrar otherwise directs, be written, typewritten, lithographed or printed in the English languageSize, etc., of documents

- upon strong white paper of a size approximately 13 inches by 8 inches, leaving a margin of at least 1 1/2 inches on the left-hand part thereof:
- (*b*) in legible characters with a dark, indelible ink;
- (c) with the lines widely spaced;
- (*d*) except in the case of affidavits, on one side only.
- (2) Duplicates of any documents shall at any time be lodged, if required by the Registrar.
- (3) Duplicate documents required under these Regulations may be carbon copies of the original documents:

Provided that they shall be on paper of good quality and the typing shall be black and distinct.

**78.** Every person concerned in any proceedings to which these Regulations relate, and every patentee, shall furnish to the Registrar an address for service in Zambia and that address may be treated for all purposes connected with such proceedings or patent as the address of the person concerned in the proceedings or the patentee.

Address for service

**79.** (1) Where any notice, application or other document is required to be served on any person under the provisions of the Act, such service may be effected by the delivery of a copy thereof either-

Method and proof of service

- (a) at the address for service furnished to the Registrar in terms of these Regulations; or
- (b) to such person personally, or to his duly authorised agent; or
- (c) at his residence or place of business or employment, to some responsible person there residing or employed.
- (2) Service effected by any person in accordance with the provisions of this regulation shall be proved by a certificate made in Form No. 46 and such certificate shall be filed with the Registrar.
- **80.** Any notice, application or other document sent to the Office by post shall not be deemed to have been given, made or lodged until it is actually received in the Office.

Lodging of documents

**81.** The Registrar may in any proceedings held before him decide the hours, times and places at which he will sit and he may adjourn any proceedings for such time and to such place as he may think fit.

Power of Registrar to fix time and place of proceedings

**82.** (1) Any application, request or notice which is required or permitted under this Act to be made or given to the Registrar, and all other communications between an applicant or a person making a request or giving a notice and the Registrar, and between the patentee and the Registrar or any other person, may be signed, made or given by or through an agent:

Agency

Provided that the Registrar is satisfied that the agent has been duly authorised so to act on behalf of such applicant, person or patentee.

- (2) No power of attorney or any form of authorisation need be filed at the Office or exhibited to the Registrar in connection with any matter or proceeding under these Regulations unless the Registrar otherwise directs.
- 83. The Registrar may refuse to recognise as agent in respect of any proceedings under this Act a person who neither resides nor maintains a recognise agent place of business in Zambia.

Refusal to

**84.** (1) A document purporting to be signed for or on behalf of a partnership shall contain the names of all the partners in full and may be signed by a partner, or by any other person who satisfies the Registrar that he is authorised to sign the document.

Signature of documents

- (2) A document purporting to be signed for or on behalf of a body corporate shall be signed by a director or by the secretary or other principal officer of the body corporate, or by any other person who satisfies the Registrar that he is authorised to sign the document.
- **85.** Any document lodged in any proceedings before the Registrar may, if the Registrar thinks fit, be amended, and any irregularity in procedure may be rectified on such terms as he may direct.

Amendment of documents

**86.** (1) Where, under these Regulations, any person is required to do any act or thing, or any document or evidence is required to be produced or lodged, the Registrar may, upon the production of such evidence and subject to such terms and conditions as he thinks fit, modify or dispense with the doing of the act or thing or the production or lodging of the document or evidence if he is satisfied that it is reasonable so to do.

Power of Registrar to waive requirements

(2) The Registrar may allow an application for a patent or a provisional or complete specification, although not in accordance with these Regulations, to be left on such terms and conditions as he thinks fit, modify or dispense with the doing of the act or thing or the production or lodging of the document or evidence if he is satisfied that it is reasonable so to do.

- (2) The Registrar may allow an application for a patent or a provisional or complete specification, although not in accordance with these Regulations, to be left on such terms and conditions as he thinks fit. In any such case the Registrar shall require the applicant to comply with these Regulations within the time specified by him. Until the prescribed requirements are complied with, no further action shall be taken by the Registrar in respect of the application.
- 87. (1) Where an order relating to a patent has been made by the Supreme Court or by the High Court, the person in whose favour such order has been made shall forthwith file at the Office an office copy of such order together with an application in Form No. 47.

Order of Supreme Court or High Court

- (2) The specification shall thereupon be amended or the register rectified or the purport of such order shall otherwise be duly entered in the register, as the case may be.
- 88. The Office shall be open to the public and the register shall be open Days and hours to inspection on payment of the fee specified in item 47 of the First Schedule, every weekday, except Saturday, between the hours of nine and one, and two and half-past three; except on public holidays.

of business

## FIRST SCHEDULE (Regulation 75)

### TARIFF OF FEES PAYABLE TO THE REGISTRAR OF PATENTS WITH EFFECT FROM 1ST MAY, 1995

The following fees shall be paid in respect of applications, registrations and other matters under the Act. Such fees must in all cases be paid before or at the time of doing the matter in respect of which they are to be paid.

Item	Matter or Proceeding	Amount Payable by Local Firms, Corpora- tions and Individuals	Amount Payable by Foreign Firms, Corporations and Individuals	Corres sponding Form No.
		Fee units	US\$	
1. On	application for a patent (Non-conv	ention)20,0	100.00	1
	convention application, in respect ation for protection in a convention			
countr	-	20,0	100.00	2
3. On application for a patent of additio		20.0	100.00	3
	application for grant of a patent of independent patent	addition 40,0	150.00	4
5. On	lodging specification-			
(i)	provisional	5,0	10.00	5
(ii)	complete	10,0	15.00	6
	extension of the period for lodging ication	g complete 2,0	5.00	7
	request for post-dating of an applic section 17 (1)	eation 2,0	5.00	8
	request for extension of time under nere the extension requested-	section		
(i)	does not exceed one month	2,0	5.00	9
(ii) two m	exceeds one month but does not exceeds	xceed 4,0	10.00	9
(iii)	exceeds two months	12,0	20.00	9
` /		,		

9. For each extension of time under section 212,0			5.00	10	
10. On ap	oplication for withdrawal of accep	otance	2,0	5.00	
11.On no objector	otice of opposition to grant of pate	ent by 5,0	7.00	12	
	claim under section 23 (1) for app d in name of claimants	olication 2,0	5.00	13	
13.On ap	plication for directions under sec	tion 23 (5)	10,0	15.00	
14. On re	equest for sealing of a patent (grant on fee)	nt and 50,0	200.00	15	
requestin (iv) of the	15.On application for extension of the period for requesting the sealing of a patent under paragraph (iv) of the proviso to section 25 (2), where the extension requested-				
(i) do	oes not exceed one month	2,0	5.00	16	
(ii) ex two mont	sceeds one month but does not exths	aceed 4,0	10.00	16	
(iii) ex	ceeds two months	12,0	15.00	16	
16.On application for extension of the period for requesting the sealing of a patent under paragraph (v) of the proviso to section 25 (2), where the extension requested-					
(i) do	oes not exceed one month	2,0	5.00	17	
(ii) exact the i	sceeds one month-for each month first	2,0	5.00	17	
17.On application under section 26 for amendment of patent 5,0 10.00 18					
18. On application for certificate of payment of renewal fee-					
(i)	before the expiration of the third from the date of commencement term of a patent referred to in sec 29 and in respect of the fourth y	t of the ection	50.00	19	
(ii)	before the expiration of the four of the term of a patent and in re- of the fifth year	•	60.00	19	
(iii)	before the expiration of the fifth of the term of a patent and in re-	-			

	of the sixth year	10,0	70.00	19
(iv)	before the expiration of the sixth of the term of a patent and in res of the seventh year	-	80.00	19
(v)	before the expiration of the sever of the term of a patent and in res of the eighth year	-	90.00	19
(vi)	before the expiration of the eight of the term of a patent and in res of the nineth year	•	100.00	19
(vii)	before the expiration of the ninet of the term of a patent and in res of the tenth year	-	110.00	19
(viii)	before the expiration of the tenth of the term of a patent and in res of the eleventh year		120.00	19
(ix)	before the expiration of the eleve of the term of a patent and in res of the twelfth year	•	130.00	19
(x)	before the expiration of the twelf of the term of a patent and in res of the thirteenth year	-	140.00	19
(xi)	before the expiration of the thirte year of the term of a patent and i respect of the fourteenth year		150.00	19
(xii)	before the expiration of the fourt year of the term of a patent and i respect of the fifteenth year		160.00	19
(xiii)	beyond the fifteenth year of the to of a patent and in respect of the sixteenth year	35,0	170.00	19
(xiv)	beyond the sixteenth year of the of a patent and in respect of each of the extension		180.00	19
PRO	VIDED THAT only one-half of the shall be payable on patents endom "Licences of Right"			
	ension of the period for payment re the extension requested-	of renewal		
(i)	does not exceed one month	2,0	5.00	19
(ii)	exceeds one month but does not	exceed		

	two months	4,0	10.00	19
(iii)	exceeds two months but does not three months	ot exceed 6,0	12.00	19
(iv)	exceeds three months but does four months	not exceed 8,0	15.00	19
(v)	exceeds four months but does if ive months	not exceed 10,0	20.00	19
(vi)	exceeds five months but does r six months	not exceed 12,0	22.00	19
Whe	months, the fee prescribed in c is payable, and in addition, for or part of a month thereafter du period of the extension	lause (vi) each month	5.00	19
20. 21	Certificate of payment of renev	wal fee	-	-
21.On ap	plication for restoration of lapse	d patent	25,0	50.00
	notice of opposition to applicat on of lapsed patent	ion for 15,0	35.00	23
23. On application for endorsement of patent "Licences of Right" 5,0 5.00 24				
	n application for settlement of te nder patent endorsed "Licences		20.00	25
of endors	application by patentee for cance sement of patent "Licences of			
	ection 36 (1))	15,0	35.00	26
26. endorsem (section 3	On application for cancellanent of patent "Licences of Righ 36 (2))		10.00	27
	notice of opposition to cancella nent of patent "Licences of Righ		10.00	28
28.On ap section 3	plication for compulsory licence 7	e under 7,5	12.00	29
29.On application for amendment of provisional specification or complete specification not yet accepted 10.0 20.00 30				
	application for amendment of co tions after acceptance up to seal	-		

(i) by applicant	10,0	20.00	
(ii) after sealing by patentee	20,0	40.00	31
31. Form of advertisement of reques		5.00	22
specification	2,0	5.00	32
32. On notice of opposition to amend by objector	dment 3,0	6.50	33
33. On application for direction under	er 47 (6)5,0	10.00	34
34. On application for direction unde	er 47 (7)5,0	10.00	35
35. On application under section 48 disputes	(1) to determine 10,0	20.00	36
36. On offer to surrender a patent un 37	der section 52	6,0	11.50
37. On notice of opposition to surrer 38	nder a patent	2,0	5.00
38. For altering name, nationality, address for service in register for each		5.00	39
<ol> <li>On application for registration of under section 58</li> </ol>	f an assignment 4,0	8.50	40
40. On request to enter notice of inte 41	erest in register	4,0	8.50
41. On request to correct a clerical e	rror-		
(i) up to sealing	2,0	5.00	42
(ii) after sealing	5,0	10.00	42
42. On notice of opposition to the co			
clerical error	7,5	16.50	43
43. For duplicate of patent	7,5	30.50	44
44. On application for registration as 45	s patent agent	5,0	10.00
45. Certificate of service	2,0	5.00	46
46. Application for entry of order of 47	court or tribunal	5,0	10.00
•	s fixed by the gistrar from ime to time		48
48. For certifying office copies, MSS or printed matter other than duplicate			
(i) under seal	10,0	20.00	

(ii) other 5,0 10.00

49. For general search of records of 30 minutes duration or part thereof 5,0 10.00

50. For inspecting documents or making copies of documents in respect of each application or file

51. For photographic copy of any specification or Contract price as other document (other than a patent form) or fixed by the drawing-per sheet Minister from

time to time

or patent

(NOTE: The same charge is maintained regardless of whether or not Patent Office equipment is used to produce such copies)

52. For photographic copy of a document which is a Double the charge patent form as described under the Third Schedule in item No. 51 of the Patents Regulations

5.0

10.00

(NOTE: The same charge is maintained regardless of whether or not Patent Office equipment is used to produce such copies)

(As amended by S.I. No. 38 of 1981, Act No. 13 of 1994)

# SECOND SCHEDULE (Regulation 35)

#### FORM OF PATENT

KENNETH DAVID KAUNDA, President of the Republic of Zambia: To all whom these presents shall come, Greeting:

#### **WHEREAS**

(hereinafter called the patentee) has, pursuant to the Patents Act, made application for

Letters Patent for an invention for

and has made a declaration that he is the owner of that invention and that there is no lawful ground of objection to the grant of a patent to him and has by a complete specification fully described and ascertained the said invention.

NOW THEREFORE, the patentee is granted full power, sole privilege, and authority that the patentee by himself, his agent, or licensees, and no others, may at all times hereafter during the term herein mentioned make, use, exercise, and vend the said invention within the Republic of Zambia in such manner as to him seems meet, and the patentee shall have and enjoy the whole profit and advantage from time to time accruing by reason of the said invention during the term of Sixteen Years from.....

PROVIDED ALWAYS that these Letters Patent shall be granted subject to the provisions of the said Act.

IN WITNESS	WHEREOF these Letters Patent have	ve been sealed as of the
day of one the	ousand nine hundred and	
Dated this	day of	. 19
Seal of Patent	Office	

Registrar of Patents

# THIRD SCHEDULE

# (Regulation 76)

### PRESCRIBED FORMS

For	m A	Matter				esponding Fee tem No.
1.	Application for a patent (non-convent 1	ion)	••			••
2.	Convention application for a patent 2					
3.	Application for a patent of addition 3					
4.	Application for the grant of a patent o	f addition	instead o	f an		
	ependent patent	••	••	••	4	
5.	Provisional specification					_
6.	Complete specification	••	••		••	5
7.	Application for extension of time for l	lodging a	complete			
	cification					6
8.	Request for the post-dating of an appl 7	ication			••	
9. spe	Application for extension of time for a cification	acceptanc 	e of a con 	nplete 		8
10.	Request for postponement of acceptar 9	nce of con	nplete spe	cification		
11.	Application for withdrawal of accepta 10	nce				
12.	Notice of opposition to grant of patent 11	t				
13.	Claim under section 23 (1) of the Act	to procee	d as an an	plicant		
	co-applicants		••		••	12
14.	Application for directions under section ceeding with an application for a patent			as to		
-	ween joint applicants	••				13
	Request for the sealing of a patent 14					
	Application under proviso (iv) to section of the period for making a reque	, ,		et for an		
pate	ent	••	••		15	
17	Application under proviso (v) to section	on 25 (2)	of the Act	for an		

exte	ension of the period for making a request for sealing of a			
pate	ent		16	
18.	Application under section 26 of the Act for the amendment of	a		
pate		•	17	
19.	Payment of renewal fee	•		18
20.	Application for extension of the period for payment of renewa	l fee	19	
21.	Certificate of payment of renewal fee	•		
	-			
22.	Application for the restoration of a lapsed patent 21	•	••	••
23.	Notice of opposition to an application for the restoration of a l	apsed		
pate	ent	•	21	
24.	Voluntary application for endorsement of patent "Licen- ces of 23	f Right"		
25.	Application under section 35 (2) (a) or (b) of the Act for settle	ment		
of t	erms of licence under patent endorsed "Licences of Right" . 24			
	Application under section 36 (1) of the Act by patentee for cellation of endorsement of a patent "Licences of Right" . 25			
	Application under section 36 (2) of the Act by any person integrancellation of endorsement of patent "Licences of Right" . 26	rested		
	Notice of opposition by patentee or by any person interested to cellation of endorsement of a patent "Licenses of Right" . 27			
29.	Application for compulsory licence under section 37 of the Ac 28	et	••	
	Application under section 43 of the Act for amendment of a visional specification or of a complete specification not			
	accepted			29
31.	Application under section 43 of the Act for amendment of con	nplete		
spe	cification after acceptance	•	30	
32.	Application to amend specification	•		
	Notice of opposition to amendment of specification under ion 43 (5) of the Act			3
	Application for directions under section 47 (6) of the Act	•	••	J
	33	•		••
35.	Application for directions under section 47 (7) of the Act 34	•		
36.	Application under section 48 (1) of the Act to determine a disp	oute		
	ween employer and employee as to rights in an invention .	•		

37.	Offer to surrende 36	r a patent und	er sectior	n 52 (1)	of the Act			
	Notice of opposite a patent	ion under sec	tion 52 (2 	2) of the 	Act to offe	r to 	37	
	Request for alterates for service in			onality of	or an addres 	s or an	••	38
40.	Application for re	egistration of	assignme	nt				
41.	Request to enter i	n the register	of patent	s a noti	ce of an inte	erest in		
	tent				••	••	40	
42.	Request for corre	ction of cleric	cal error	••		••	••	
43.	Notice of opposit 42	ion to the cor	rection of	a cleri	cal error	••		
44.	Application for d 43	uplicate of Le	tters Pate	ent				
45.	Application for re	egistration as	a patent a	gent ur	der section	68 of		
the .						••	44	
46.	Certificate of serv	vice	••	••		••	••	
47.	Application for each 46	ntry of order of	of Supren	ne or H	igh Court		••	
48.	General form of a	ndvertisement			••			

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 1 Sections 11, 12 Regulation 3 (1)

THE PATENTS ACT
Fee units: 24

APPLICATION FOR A PATENT (NON-CONVENTION)	
I/We (1)	(1) State full name and address of applicant(s)
being a national/nationals of	(2) Delete if not applicable
do hereby declare that I am/we are the owner(s) of an invention in respect of the Republic of Zambia (2) by having invented it/by having acquired it by assignment, which invention is described in the accompanying (2) provisional/complete specification under the title (3)	(3) Here insert title of invention
that (2) I am/we are the assignee(s)/legal representative(s) of (4)	(4) Here insert name(s) of
who claim(s) to be the inventor(s) thereof, and that to the best of my/our knowledge and belief there is no lawful ground of objection to the grant of a patent to me/us on this application and I/we pray that a patent may be granted to me/us for the invention.  Dated this day of	inventor(s)
Dated this day of,19	
(5) My/Our address for service in Zambia:	(5) To be signed by the applicant(s) or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 2 Sections 8, 11, 12 Regulation 3 (2)

THE PATENTS ACT

Fee units: 24

#### CONVENTION APPLICATION FOR A PATENT

(This is a comprehensive form and points inappropriate to a particular application should be deleted)

I/We (1)	(1) State full name and addres of applicant(s
being a national/nationals of do hereby declare that I am/we are the owner(s) of an invention in respect of the Republic of Zambia (2) by having invented it/by having acquired it by assignment, which invention is entitled (3)	(2) Delete if not applicable (3) Here insert title of invention
and which invention is described in the accompanying complete specification, and that (2) I am/we are the assignee(s)/legal representative(s) of (4)	(4) Here insert name(s) of inventor(s
who claim(s) to be the inventor(s) thereof; that an application or applications for	
protection for the invention or inventions has or have been made in the following country or countries and on the following effective date or dates, namely:	
in (5)	(5) Here insert the name of the

numbe	red (7)
in (5)	on (6)
	red (7)

convention country in which the first application was made (6) Here insert the official date of the first application in a convention country

country/countries qualify under (8) Article 2/3 of the Convention by reason of being (8) a national of/

domiciled in/having a place of business in a member state, namely

, and that I/we qualify under the said (8)

Article 2/3 by reason of being (8) a national of/domiciled in/having a place of business in a member state, namely

and that to the best of my/our knowledge and belief there is no lawful ground of objection to the grant of a patent to me/us on this application and that I/we pray that a patent may be granted to me/us for the invention in priority to other applicants, and that such patent shall have the date (6)

and (8) I/we declare the said invention(s) is/are an improvement in or modification of

(7) Here insert official number of first application in convention countryand that the said application or each of the said applications was the first application in a convention country in respect of the relevant invention by me/us or by any person from whom I/we derive title, and that the applicant(s) in the

abovementioned (8) Delete whichever does not apply

my/our invention for which a patent was applied for/granted under No. (9)

, and (8) I/we pray that a patent may be granted to me/us for the said invention(s) as a patent of addition and request that the term of such further patent may be the same as that of the patent for the main invention or so much of that term as is unexpired.

(10)

My/Our address for service in Zambia:

(9) Insert number of main patent or patent application

(10) To be signed by the applicant(s) or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 3 Sections 11, 12, 31 (1) Regulation 3 (3)

THE PATENTS ACT

Fee units: 40

#### APPLICATION FOR A PATENT OF ADDITION

I/We (1)	(1) State full name and address of applicant(s)
being a national/nationals of do hereby declare that I am/we are the owner(s) of an invention the title of which is (2)	(2) Here insert title of invention
and that I am/we are the (3) assignee(s)/legal representative(s) of (4) who claim(s) to be the inventor(s) thereof; that the said invention is an improvement in or modification of my/our invention for which a patent was applied for/granted under	(3) Delete if not applicable (4) Here insert name(s) of inventor(s)
No. (5) ; that to the best of my/our knowledge and belief there is no lawful ground of objection to the grant of a patent to me/us on this application and I/we pray that a patent may be granted to me/us for the said invention as a patent of addition and request that the term of such further patent may be the same as that of the patent for the main invention or so much of that term as is unexpired  Dated this day of	(5) Here insert number of main patent or patent application
(6)	(6) To be signed by

My/Our address for service in Zambia:

the applicant(s) or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 4 Sections 11, 12, 31 (2) Regulations 3 (4)

THE PATENTS ACT Fee unit:

Lusaka, Zambia.

APPLICATION FOR THE GRANT OF A PATENT OF ADDITION CINSTEAD OF AN INDEPENDENT PATENT

I/We (1)			(1) State full name and address of
being a nationa	being a national/nationals of		
hereby request of which I am/v	-	evoked and that instead thereof a patent of	
	ent No. of which I am/we ane/us, such patent of addition	are also the patentee(s) on to bear the same date as the patent so revoked.	
Dated this (2)	day of	,19	(2) To be signed by patentee(s) or his/their agent
My/Our addres	s for service in Zambia:		
The Registrar, The Patent Offi	ice,		

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 5 Regulation 3 (5)

THE PATENTS ACT

PROVISIONAL SPECIFICATION

(1) State title verbally agreeing with that in the application

(2) I/We.

do hereby declare this invention to be described in the following statement:

(2) State full name, description and address of applicant(s) as in application form

form

(3)

(3) Here begin description of the invention. The continuation of the specification should be upon paper of foolscap size on one side only, with the lines well spaced and with a margin of one inch and a half on the left-hand part of the paper. The

specification must
be signed by the
applicant(s) or
his/their agent on
the last sheet and
dated (thus):
"Dated
this
day
of
19"

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 6 Regulation 3 (5)

THE PATENTS ACT

Fee unit: 1

#### COMPLETE SPECIFICATION

(*To be furnished in duplicate*)

(1)

(1) State title verbally agreeing with that in the application form

(2) I/We

(2) State full name, description and address of applicant(s) as in application form

do hereby declare this invention, the manner in which and the method by which it is to be performed, to be particularly described and ascertained in and by the following statement:

(3)

(3) Here begin full description of invention. The continuation of the specification should be upon paper of foolscap size on one side only, with the lines well spaced and with a margin of one inch and a

NOTE.-The claims must relate to a single invention, must be clear and succinct and must be fairly based on the matter disclosed in the specification. They should form in brief a clear statement of that which constitutes the invention. Applicants should be careful that their claims include neither more nor less than they desire to protect by their patent. Any unnecessary multiplicity of claim or prolixity of language should be avoided. Claims should not be made for the efficiency or advantages of the invention.

half on the left-hand part of the paper. The completion of the description should be followed by the words "Having now particularly described and ascertained my/our said invention and in what manner the same is to be performed, I/we declare that what I/we claim is:" (after which should be written the claim or claims numbered consecutively) (see note below). The specification and the duplicate thereof must be signed by the applicant(s) or his/their agent on the last sheet and dated (thus): "Dated this ..... dav of .....

19 ....."

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 7 Section 13 (2) Regulation 9

THE PATENTS ACT Fee unit: 01

APPLICATION FOR EXTENSION OF TIME FOR LODGING A COMPLETE SPECIFICATION

(1) State I/We (1) name and address of applicant(s) hereby, in respect of application No., request an extension of time until in which to lodge a complete specification. Dated this (2) To be signed by (2) applicant(s) or his/their agent

My/Our address for service in Zambia:

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 8 Section 17 (1) Regulation 10

THE PATENTS ACT Fee unit: 1

#### REQUEST FOR THE POST-DATING OF AN APPLICATION

I/We (1)	(1) State name and
hereby request that application No. lodged on the of	address of applicant(s)
Dated this day of	
(2)	(2) To be signed by
My/Our address for service in Zambia:	applicant(s) or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 9 Section 20 (1) Regulation 20

THE PATENTS ACT Fee units: 1, 2, 3

# APPLICATION FOR EXTENSION OF TIME FOR ACCEPTANCE OF A COMPLETE SPECIFICATION

I/We hereby apply for months' extension of time for acceptance of the complete specification upon application No.	
Dated this day of	
(1)	(1) To be signed by applicant(s)
My/Our address for service in Zambia:	or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 10 Section 21 (1) Regulation 21

THE PATENTS ACT

Fee unit: 1

# REQUEST FOR POSTPONEMENT OF ACCEPTANCE OF COMPLETE SPECIFICATION

I/We hereby request a postponement of the acceptance of the complete specification of	
application No. dated	
to a date not later than the	
expiration of months from the date of lodging of the application.	
Dated this day of	
(1)	(1) To be signed by
My/Our address for service in Zambia:	applicant(s) or his/their agent
	agent

The Registrar,
The Patent Office,
Lusaka,
Zambia.

(F.G.N. No. 206 of 1959)

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 11 Regulation 22 (2)

The Patent Office,

Lusaka, Zambia.

THE PATENTS ACT Fee unit: 1 APPLICATION FOR WITHDRAWAL OF ACCEPTANCE I/We (1) (1) State name and address of applicant(s) apply for withdrawal of the acceptance of the specification of patent application No. My/Our reasons for desiring such withdrawal are as follows: (2) The (2) circumstances and grounds must be stated in full day of ...... 19 ...... Dated this (3) (3) To be signed by the My/Our address for service in Zambia: applicant(s) or his/their agent The Registrar,

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 12 Section 22 (1) Regulation 24

THE PATENTS ACT Fee unit: 1	(1) State full name and address
NOTICE OF OPPOSITION TO GRANT OF PATENT	
I/We (1)	
hereby give notice of opposition to the grant of Letters Patent upon application  No. applied for by	
upon the ground (2)	(2) State upon which of the grounds of opposition permitted by
Dated this day of	section 22 the grant is opposed and identify all specifications and other publications relied upon
(3) My/Our address for service in Zambia:	(3) To be signed by objector(s) or his/their legal

practitioner

### REPUBLIC OF ZAMBIA PATENTS FORM NO. 13 Section 23 (1) Regulation 31 (1),

THE PATENTS ACT Fee unit 1

CLAIM UNDER SECTION 23 (1) OF THE ACT TO PROCEED AS AN APPLICANT OR CO-APPLICANTS

I/We (1)

hereby request that patent application No. (2) dated made by

(3)

may proceed in the name(s) of (4)

- (1) State name of claimant(s)
- (2) State the number and date of the application for patent
- (3) State name of applicant(s) for patent
- (4) Here insert name, address and nationality of the person or persons in whose name(s) it is requested that the application shall proceed

I/We claim to be entitled to proceed as applicant(s) for the patent by virtue of (5)	(5) Give the particulars of such document, giving its date and the parties to the same, and showing how the claim here made is substantiated
And in proof whereof I/we transmit the accompanying (6)	<ul><li>(6) State the nature of the document.</li><li>The certified</li></ul>
My/Our address for service in Zambia:	copy should be written, typewritten or printed on foolscap paper
(7)	(7) To be signed by claimant(s) or his/their agent
I/We (8)	(8) To be signed by
consent to the above request.  The Registrar, The Patent Office, Lusaka, Zambia.	the applicant(s) or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 14 Section 23 (5) Regulation 32

THE PATENTS ACT

Fee unit: 1

The Registrar, The Patent Office,

Lusaka, Zambia.

APPLICATION FOR DIRECTIONS UNDER SECTION 23 (5) OF THE ACT AS TO PROCEEDING WITH AN APPLICATION FOR A PATENT IN CASE OF DISPUTE BETWEEN JOINT APPLICANTS

(1) State full name and address
(2) State name and address of other
applicant(s)
(3) To be signed by
applicant or his agent

NOTE.-The application must be accompanied by a statement of case and by copies of the

application and statement as required by regulation 32.

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 15 Section 25 (1) Regulation 33

THE PATENTS ACT Fee units: 40

### REQUEST FOR THE SEALING OF A PATENT

I/We (1)	(1) State name of applicant(s)
request that a patent may be sealed on my/our application No	applicani(s)
of 19, and I/we hereby transmit the prescribed fee for sealing, and further request that the following may be entered on the register as my/our address for service in Zambia:	
Dated this day of	
(2)	(2) To be signed by the
The Registrar,	applicant(s) or his/their
The Patent Office,	agent
Lusaka,	J
Zambia.	

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 16 Section 25 (2) Regulation 34 (1)

THE PATENTS ACT

Fee units: 123

APPLICATION UNDER PROVISO (IV) TO SECTION 25 (2) OF THE ACT FOR AN EXTENSION OF THE PERIOD FOR MAKING A REQUEST FOR SEALING OF A PATENT

I/We hereby ap	oply for	months' extension		
of time for the	sealing of a 1	patent upon application	n No.	
dated				
Dated this	day of		19	
(1) My/Our addres	ss for service	in Zambia:		(1) To be signed by the applicant(s) or his/their agent

The Registrar,

The Patent Office,

Lusaka,

Zambia.

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 17 Section 25 (2) Regulation 34 (2)

THE PATENTS ACT

Fee unit: 1

APPLICATION UNDER PROVISO (V) TO SECTION 25 (2) OF THE ACT FOR AN EXTENSION OF THE PERIOD FOR MAKING A REQUEST FOR SEALING OF A PATENT

I/We hereby apply for (1) months' extension of the period for the sealing of a patent upon application No. The circumstances and the grounds upon which the extension is applied for are as follows:	(1) Not more than six months' extension may be applied for at one time
c a I	2) The circumstances and grounds must be stated n detail
<ul> <li>(a) an extension of time of three months for making a request for sealing has been allowed under proviso (iv) to section 25 (2) of the Act and has not yet expired;</li> <li>(b) an extension of time of months for making a request for sealing has been allowed under proviso (v) to section 25 (2) of the Act and has not yet expired.</li> </ul>	(a) and (b) Delete the words which are not applicable
Dated this day of	(3) To be signed by the applicant(s) or his/their agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 18 Section 26 Regulation 36

THE PATENTS ACT

Fee units: 1

APPLICATION UNDER SECTION 26 OF THE ACT FOR THE AMENDMENT OF A PATENT

I/We (1)	(1) State name and address
hereby request that Letters Patent No. granted to	
may be amended by substituting the name of (2)	(2) State name and address of person to
for the name of the grantee.	whom patent
Dated this day of	should have been granted
	(3) To be signed by
My/Our address for service in Zambia:	the applicant(s) or his/their agent

NOTE.-Application to be accompanied by evidence verifying the statements made therein and by the Letters Patent.

The Registrar,

The Patent Office,

Lusaka, Zambia.

## REPUBLIC OF ZAMBIA PATENTS FORM NO. 19 Section 29 (4) Regulations 37, 47

THE PATENTS ACT Fee units: 10-30 depending on period PAYMENT OF RENEWAL FEE (1) State I/We (1) name of person(s) tendering the fee (2) Here insert hereby transmit the fee prescribed for the continuation in force of (2) name of patentee(s) Patent No. for a further period of and request that the Certificate of Payment may be sent to me/us at (3) (3) Here insert full address to which day of ...... 19 ...... Dated this certificate is to be NOTE.-If the address given above is not that entered in the register as the patentee's address for service and it is desired to amend the entry in the register, application therefor must be sent made in Patents Form No. 39.

The Registrar,

The Patent Office, Lusaka, Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 20 Section 29 (4) Regulation 39

THE PATENTS ACT

Fee units: 1-5

APPLICATION FOR EXTENSION OF THE PERIOD FOR PAYMENT OF RENEWAL FEE

I/We hereby apply for an extension of month(s) of the period prescribed for payment of the years' renewal fee upon my/our Patent No

(1) (1) Here insert name and full Dated this address to which receipt is to be sent (2) (2) To be signed by the The Registrar, applicant(s) The Patent Office, or his/their Lusaka. agent Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 21 Regulation 40

THE PATENTS ACT

#### CERTIFICATE OF PAYMENT OF RENEWAL FEE

Letters Pate	nt No		
This is to co	ertify that		
did this	day of		, 19
make the pr	escribed paym	nent of K	
in respect o	f a period of		
from			

Registrar of Patents

The Registrar,
The Patent Office,
Lusaka,
Zambia.

## REPUBLIC OF ZAMBIA PATENTS FORM NO. 22 Section 33 Regulation 41

THE PATENTS ACT

Fee units: 24

APPLICATION FOR THE RESTORATION OF A LAPSED PATENT	
I/We of being the owner(s) of Patent No. , hereby apply for an order for the restoration of the said patent.	
The circumstances which have led to the failure to pay the renewal fee of (1)	(1) Here state amount of fee
on or before the (2)	(2) State last day when fee was due
are as follows: (3)  Dated this day of	(3) State circumstances The application must be accompanied by one or more affidavits setting out fully all the material facts on which the applicant(s) base(s)

his/their case

(4)

My/Our address for service in Zambia:

(4) To be signed by the applicant(s) or his/their agent

The Registrar,
The Patent Office,
Lusaka,
Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 23 Section 33 (4) Regulation 42

THE PATENTS ACT

Fee units: 12

NOTICE OF OPPOSITION TO AN APPLICATION FOR THE RESTORATION OF A LAPSED PATENT

I/We (1) hereby give notice of opposition to the application for restoration of Patent No.	(1) Here state full name and address of objector(s)
for the following reasons (2)	(2) Here state reasons for opposition. The notice must be accompanied by a copy thereof together with a statement, in duplicate, setting out fully the nature of the objector's(s') interest and the facts upon he relies/they rely
(3)	(3) To be signed by the

My/Our address for service in Zambia:

objector(s) or his/their agent

The Registrar,
The Patent Office,
Lusaka,
Zambia.

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 24 Section 35 (1) Regulation 44

THE PATENTS ACT Fee units: 1	
VOLUNTARY APPLICATION FOR ENDORSEMENT OF PATENT "LICENCES OF RIGHT"	
I/We (1)	(1) State name and address of patentee(s)
being the owner(s) of Patent No. , hereby request that the said patent may be endorsed "Licences of Right". I am/We are not precluded by contract from granting licences under the patent.	
Dated this day of	
(2) My/Our address for service in Zambia:	(2) To be signed by the patentee(s) or his/their agent
NOTEThe application must be accompanied by evidence verifying the statement in the application and by the Letters Patent.	
The Registrar, The Patent Office, Lusaka, Zambia.	

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 25 Section 35 (2) Regulation 45

THE PATENTS ACT Fee unit 1

APPLICATION UNDER SECTION 35 (2) (A) OR (B) OF THE ACT FOR SETTLEMENT OF TERMS OF LICENCE UNDER PATENT ENDORSED "LICENCES OF RIGHT"

I/We (1)

(1) State
name and
address of
applicant(s)

hereby apply for settlement of the terms of a licence to be granted under Patent No.

I am/We are the-

- (a) patentee(s);
- (b) person(s) requiring a licence;
- (c) holder(s) of a licence under the patent granted before endorsement.

I/We (*d*) request that an order may be made entitling me/us to exchange my/our existing licence for a licence to be granted upon the terms as settled.

(2)

My/Our address for service in Zambia:

(2) To be signed by the applicant(s) or his/their agent

NOTE.-The application must be compled in duplicate and by a statement of case in duplicate. by a statement of case in duplicate.

The Registrar,

The Patent Office, Lusaka, Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 26 Section 36 (1) Regulation 47

THE PATENTS ACT

Lusaka, Zambia.

Fee unit: 1

APPLICATION UNDER SECTION 36 (1) OF THE ACT BY PATENTEE FOR CANCELLATION OF ENDORSEMENT OF A PATENT "LICENCES OF RIGHT"

I/We (1)	(1) State name and address of patentee(s)
being the owner(s) of Patent No , hereby request that the endorsement of the said patent as "Licences of Right" may be cancelled, and I/we enclose Patents Form No. 19 bearing the balance of all renewal fees which would have been payable if the patent had not been endorsed.	
I/We declare (a) that there is no existing licence under the patent; or (b) all the licensees consent to this application.  Dated this day of	(a), (b) Delete whichever is not applicable
(2)	(2) To be signed by the patentee(s)
My/Our address for service in Zambia:	or his/their agent
NOTEThe application must be accompanied by evidence in support of the application.	
The Registrar, The Patent Office,	

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 27 Section 36 (2) Regulation 48

THE PATENTS ACT

of case in duplicate.

The Patent Office,

The Registrar,

Lusaka, Zambia.

Fee unit: 1	
APPLICATION UNDER SECTION 36 (2) OF THE ACT BY ANY PERSON INTERESTED FOR CANCELLATION OF ENDORSEMENT OF PATENT "LICENCES OF RIGHT"	
I/We (1)	(1) State name and address of applicant(s)
hereby claim that the endorsement of Patent No	
"Licences of Right" is and was at the time of the endorsement contrary to a contract in	
which I am/we are interested and I/we request that such endorsement may be cancelled.	
Dated this day of	(2) To be
(2)	signed by
	the
My/Our address for service in Zambia:	applicant(s)
My/Our address for service in Zamora.	or his/their
	agent

NOTE.-The application must be completed in duplicate and accompanied by a statement

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 28 Section 36 (5) Regulation 49

THE PATENTS ACT

Fee unit: 1

NOTICE OF OPPOSITION BY PATENTEE OR BY ANY PERSON INTERESTED TO CANCELLATION OF ENDORSEMENT OF A PATENT "LICENCES OF RIGHT"

I/We (1)	(1) State name and address of opponent(s)
hereby give notice of opposition to the application for the cancellation of the endorsement	
"Licences of Right" in respect of Patent No.	
Dated this day of	
(2)	(2) To be signed by
My/Our address for service in Zambia:	the opponent(s) or his/their agent
	-

NOTE.-The notice must be accompanied by a copy thereof and a statement of case in duplicate.

The Registrar,

The Patent Office,

Lusaka,

Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 29 Section 37 Regulation 50

THE PATENTS ACT

Fee unit: 1

#### APPLICATION FOR COMPULSORY LICENCE UNDER SECTION 37 OF THE ACT

I/We (1)	(1) State name and address of
hereby apply for an order of the High Court for a licence to be granted to me/us in respect of Patent No for the following reasons:	
(2)  Dated this day of	(2) State the nature of the applicant's(s') interest, the facts upon which he relies/they rely and the grounds upon which the application is made
(3) My/Our address for service in Zambia:	(3) To be signed by the applicant(s) or his/their legal practitioner

NOTE.-The application must be accompanied by evidence verifying the statements set out in the application.

The Registrar,
The Patent Office,
Lusaka,
Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 30 Section 43 Regulation 54

THE PATENTS ACT

Zambia.

Fee unit: 1

APPLICATION UNDER SECTION 43 OF THE ACT FOR AMENDMENT OF A PROVISIONAL SPECIFICATION OR OF A COMPLETE SPECIFICATION NOT YET ACCEPTED

I/We (1)	(1) State full name and address
seek leave to amend the provisional/complete specification of Patent Application	of applicant(s)
No. as shown in red ink in the certified copy of the original specification hereunto annexed.	apprount(s)
My/Our reasons for making this amendment are in detail as follows:	
(2)  Dated this day of	(2) State full particulars of the reasons for seeking amendment
(3)	(3) To be signed by
My/Our address for service in Zambia:	the applicant(s) or his/their agent
The Registrar,	
The Patent Office,	
Lusaka,	

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 31 Section 43 Regulation 55

THE PATENTS ACT

Fee units: 1-5

# APPLICATION UNDER SECTION 43 OF THE ACT FOR AMENDMENT OF COMPLETE SPECIFICATION AFTER ACCEPTANCE

I/We (1)  seek leave to amend the specification of Letters Patent No. /  Patent Application No. as shown in red ink in the certified copy of the original specification hereunto annexed.	(1) Here state full name and address of applicant(s
I/We (2) declare that no action for infringement or proceedings for the revocation of the Letters Patent in question are pending. My/Our reasons for making this amendment are as follows:	(2) These words are to be struck out when Letters Patent have not been sealed
(3) Dated this day of	(3) State full particulars of the reasons for making amendmen
(4) My/Our address for service in Zambia:	(4) To be signed by applicant(s

or patentee(s) or his/their agent

The Registrar,
The Patent Office,
Lusaka,
Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 32 **Section 43 Regulation 55**

THE PATENTS ACT Fee unit: 1	
APPLICATION TO AMEND SPECIFICATION	
(Form of advertisement of request to amend specification)	
I/We (1)	(1) State full name and address of applicant(s)
seek leave to amend by way of (2) the specification of Letters Patent/Patent Application No.	(2) State whether by way of disclaimer, correction or explanation
for (3)	(3) Title of invention
A copy of the original specification, showing in red ink the proposed amendment, is now open to public inspection at the Patent Office.	
A notice of opposition (in Patents Form No. 33) may be filed at the Patent Office within three months from the date of this advertisement.  Dated this day of	
Dated this day of	
(4)	(4) To be signed by applicant(s) or his/their

agent

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 33 Section 43 (5) Regulation 57

THE PATENTS ACT

Zambia.

Fee unit: 1

NOTICE OF OPPOSITION TO AMENDMENT OF SPECIFICATION UNDER SECTION 43 (5) OF THE ACT	
I/We (1)	(1) State full name and
hereby give notice of opposition to the proposed amendment of the specification of Letters	address of objector(s)
Patent/Patent Application No , for the following reasons:	•
(2)	(2) Here state reasons of opposition
Dated this day of	
(3)	(3) To be signed by
My/Our address for service in Zambia:	objector(s) or his/their agent
The Registrar,	
The Patent Office, Lusaka,	

#### **REPUBLIC OF ZAMBIA PATENTS FORM NO. 34 Section 47 (6) Regulation 59**

THE PATENTS ACT

Fee unit: 1

APP.	LICAT	TON FO	R DIRECTIONS	UNDER SECTION	l 47 (6) OF THE ACT
------	-------	--------	--------------	---------------	---------------------

I/We (1) hereby apply for the following directions in respect of Patent No.	(1) State name and address of patentee(s)
(2)	(2) State the directions
Dated this day of	sought
(3)	(3) To bez signed by
My/Our address for service in Zambia:	the patentee(s) seeking directions or his/their
NOTEThe application must be accompanied by a statement of case and by copies of the application and statement as required by regulation 59.	agent
The Registrar	

The Patent Office,

Lusaka,

Zambia.

## **REPUBLIC OF ZAMBIA** PATENTS FORM NO. 35 **Section 47 (7) Regulation 60**

THE	PAT	ΓENTS	ACT

Lusaka, Zambia.

Fee unit: 1

APPLICATION FOR DIRECTIONS UNDER SECTION 47 (7) OF THE ACT	
I/We (1)	(1) State name and address of patence of joint patentees
hereby apply for directions in respect of the failure of (2) to comply with the directions of the Registrar given under section 47 (6) of the Act on the	(2) State name of person in default
day of in the following manner:	
(3) Dated this day of	(3) State the directions sought
(4) My/Our address for service in Zambia:	(4) To be signed by the patentee(s) or his/their agent
NOTEThe application must be accompanied by a copy thereof and a statement of case in duplicate.  The Registrar,  The Patent Office,	

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 36 Section 48 (1) Regulation 61

THE PATENTS ACT

Fee unit: 1

APPLICATION UNDER SECTION 48 (1) OF THE ACT TO DETERMINE A DISPUTE BETWEEN EMPLOYER AND EMPLOYEE AS TO RIGHTS IN AN INVENTION

I/We (1)	(1) State name and address of applicant(s)
hereby declare that in respect of the rights in the invention for which an application for a patent was made by	аррпсанця
and numbered, (2) and upon which a patent  No. has been granted, a dispute has arisen between me/us	(2) Delete if a patent has not been granted
and (3) and I/we hereby apply to the Registrar/High Court to determine the dispute.	(3) State name and address of other party
The facts of the dispute and the relief which I/we seek are set out fully in the accompanying statement.	to dispute
Dated this day of	
(4) My/Our address for service in Zambia:	(4) To be signed by the applicant(s) or his/their
	agent

NOTE.-Application must be accompanied by a copy thereof and a statement in duplicate setting out the facts of the dispute and the relief which is sought.

The Registrar,

The Patent Office, Lusaka, Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 37 Section 52 (1) Regulation 63

Regulation 05	
THE PATENTS ACT Fee unit: 1	
OFFER TO SURRENDER A PATENT UNDER SECTION 52 (1) OF THE ACT	
I/We (1)	(1) State full name and address of
hereby offer to surrender Patent No.	patentee(s)
I/We declare that no action for infringement, proceeding for revocation or proceeding in which the validity of the patent or of a clause in the complete specification is disputed, is pending.	
My/Our reasons for making this offer are:	
Dated this day of	
My/Our address for service in Zambia:	(2) To be signed by the patentee(s) or his/their agent
The Registrar, The Patent Office,	

# **REPUBLIC OF ZAMBIA**

Lusaka, Zambia.

#### PATENTS FORM NO. 38 Section 52 (2) Regulation 64

THE PATENTS ACT

Lusaka, Zambia.

Fee unit: 1

NOTICE OF OPPOSITION UNDER SECTION 52 (2) OF THE ACT TO OFFER TO SURRENDER A PATENT

I/We (1) hereby give notice of opposition to the offer to surrender Patent No.	(1) State full name and address of opponent(s)
for the following reasons (2)	(2) State briefly the reasons for opposition
Dated this day of	
(3) My/Our address for service in Zambia:	(3) To be signed by the opponent(s) or his/their agent
NOTEThe application must be accompanied by a copy thereof and a statement of case in duplicate.	
The Registrar, The Patent Office,	

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 39 Section 6 Regulation 66

THE PATENTS ACT

Fee unit: 1

# REQUEST FOR ALTERATION OF A NAME OR NATIONALITY OR AN ADDRESS OR AN ADDRESS FOR SERVICE IN THE REGISTER OF PATENTS

In the matter of Patent No.

I/We (1)	(1) State full name and address of applicant(s)
hereby request that the (2) name, nationality, address, address for service, now upon the	(2) Strike out words not applicable
register of patents may be altered to (3)  Dated this day of	(3) Insert name, nationality, address or address for service, as the case may be
(4) My/Our address for service in Zambia:	(4) To be signed by the applicant(s) or his/their agent

NOTE.-Where the request is for alteration in a name or nationality, proof of the alteration

must be furnished.

The Registrar, The Patent Office, Lusaka, Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 40 Section 58 (2) Regulation 67 (1)

THE PATENTS ACT

Fee unit: 1

#### APPLICATION FOR REGISTRATION OF ASSIGNMENT

I/We (1)

(1) State full name and address of applicant(s)

hereby request that you will enter my/our name in the register of patents as proprietor/co-

give name of registered proprietor(s)

(2) Here

proprietor of Patent No. at present registered in the name of (2)

I/We claim to be so entitled by virtue of (3)

(3) Here specify the particulars of each document, giving its date, and the parties to the same, and showing how the claim here made is substantiated

And in proof	whereof I/we transmit the accompanying (4)
with a certific	ed copy thereof.
Dated this	day of 19
(5)	
My/Our addr	ress for service in Zambia:
The Registrat	
	tent Office,
Lusaka	•
Zambia	l <b>.</b>

(4) Here insert the nature of the document

(5) To be signed by the applicant(s) or his/their agent

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 41 Section 58 (2) Regulation 67 (2)

THE PATENTS ACT

Fee unit: 1

# REQUEST TO ENTER IN THE REGISTER OF PATENTS A NOTICE OF AN INTEREST IN A PATENT

I/We (1) (1) State full name and address hereby request that you will enter in the register of patents a notice of the following interest applicant(s) in a patent: I/We claim to be entitled (2) (2) Here insert the nature of to an interest in Patent No. at present registered the claim, whether by way of licence or otherwise in the name of (3) (3) Here give the name of registered proprietor(s) by virtue of (4) (4) Here specify the particulars of such document, giving its date, and the parties to the

And in proof whereof I/we transmit the accompanying (5)
with a certified copy thereof.
Dated this day of
(6)
My/Our address for service in Zambia:
The Registrar,
The Patent Office,

Lusaka, Zambia. same, and showing how the claim here made is substantiated

(5) Here insert the nature of the document

(6) To be signed by the applicant(s) or his/their agent

## REPUBLIC OF ZAMBIA PATENTS FORM NO. 42 Section 59 (2) Regulation 69

THE PATENTS ACT

Zambia.

Fee units: 1-2	
REQUEST FOR CORRECTION OF CLERICAL ERROR	
I/We (1)	(1) State full name and ddress of applicant(s)
hereby request that the clerical error(s) in the (2)	(2) State whether in application,
relating to Application Patent No indicated in red	specification,
ink in the annexed copy of the said (2)	entry in
or shown as follows:	register, patent or the particular relevant
may be corrected.	document
Dated this day of	
(3)	(3) To be signed by
My/Our address for service in Zambia:	the applicant(s) or his/their agent
The Registrar,	
The Patent Office,	
Lusaka,	

## REPUBLIC OF ZAMBIA PATENTS FORM NO. 43 Section 59 (5) Regulation 71

Lusaka, Zambia.

THE PATENTS ACT Fee unit: 1	
NOTICE OF OPPOSITION TO THE CORRECTION OF A CLERICAL ERROR	
I/We (1)	(1) State full name
hereby give notice of opposition to the correction of an alleged clerical error in	of objector(s)
which said correction has been applied for by	
The grounds upon which the said correction is opposed are as follows:	
Dated this day of	
(2)	(2) To be signed by
My/Our address for service in Zambia:	the objector(s) or his/their agent
The Registrar, The Patent Office,	
The Latent Office,	

## REPUBLIC OF ZAMBIA PATENTS FORM NO. 44 Section 63 Regulation 73

THE PATENTS ACT

Fee unit: 1

#### APPLICATION FOR DUPLICATE OF LETTERS PATENT

I/We (1)	(1) State full name and address of applicant(s)
have to inform you that the Letters Patent dated (2)	(2) State
No granted to	date, number and full name of grantee(s)
for an invention the title of which is (3)	(3) Insert title of
has been lost or destroyed, or cannot be produced in the following circumstances:	invention
(4)	(4) State full circumstances of the case, which must
I/We beg therefore to apply for the issue of a duplicate of such Letters Patent.	be verified by evidence
(5)	(5) State interest
Dated this day of	possessed by applicant(s) in the

patent

(6) To be signed by the patentee(s) or his/their agent

(6)

My/Our address for service in Zambia:

The Registrar,
The Patent Office,
Lusaka,
Zambia.

#### REPUBLIC OF ZAMBIA PATENTS FORM NO. 45 Section 68 Regulation 74

(As amended by F.G.N. No. 203 of 1962)

THE PATENTS ACT

Fee unit: 5 APPLICATION FOR REGISTRATION AS A PATENT AGENT UNDER SECTION 68 OF THE ACT I(1)(1) State full name and address do hereby make oath and say as follows: I am ordinarily resident in Zambia and have been so resident since (2) (2) State date of entry into I possess the following qualifications which entitle me to be registered as a patent agent in Zambia terms of section 68 of the Act: (3) (3) Here insert full particulars of qualifications I desire to be registered as a patent agent. Sworn before me at this

Commissioner for Oaths

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 46 Regulation 79

THE PATENTS ACT

#### CERTIFICATE OF SERVICE

I/We (1)	(1) State name and address
hereby certify that at (2) on the day of, 19,	(2) State precisely where the service
at, o'clock in the noon, I/we served the following documents upon	was effected
by (3)  Dated this day of	(3) Here describe the method of service
(4)	(4) To be signed by
The Registrar, The Patent Office, Lusaka, Zambia.	the person effecting service

# REPUBLIC OF ZAMBIA PATENTS FORM NO. 47 Regulation 87

THE PATENTS ACT

Fee unit: 1

#### APPLICATION FOR ENTRY OF ORDER OF SUPREME COURT OR HIGH COURT

I/We (1)  in respect of Patent/Patent Application No. hereby transmit an office copy of an order by the High Court/by the Supreme Court with	(1) State name and address of applicant(s)
reference to (2)  Dated this day of	(2) Here state purport of the order
(3) My/Our address for service in Zambia:	(3) To be signed by the applicant(s) or his/their agent
The Registrar, The Patent Office, Lusaka, Zambia.	

REPUBLIC OF ZAMBIA PATENTS FORM NO. 48 Sections 21 (2), 30 (1), 36 (5), 52 (2), 59 (4) Regulation 76

THE PATENTS ACT

#### GENERAL FORM OF ADVERTISEMENT

Notice is hereby given that in terms of section of the Patents Act

Dated this day of ...... 19 ......

(1)

NOTE.-The contents of this advertisement must be approved by the Registrar in terms of section 66.

(As amended by Act No. 13 of 1994)

(1) Name and address of applicant(s) or his/their agent